



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/814,682	03/20/2001	Zaccaria	99-40149-C

CONFIRMATION NO. 7361

FORMALITIES LETTER



\*OC00000006133337\*

 LOUIS M. HEIDELBERGER, ESQ.  
 REED SMITH LLP  
 2500 One Liberty Place  
 1650 Market Street  
 Philadelphia, PA 19103

Date Mailed: 05/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$378.
  - \$108 for 24 total claims over 20.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 508.

*A copy of this notice **MUST** be returned with the reply.*

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

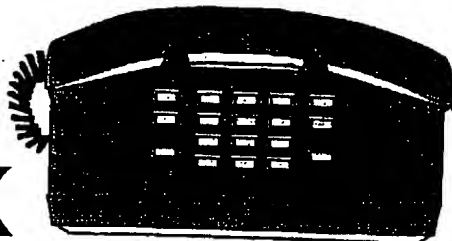
PART 3 - OFFICE COPY

12/04/2001 SDENB0B1 00000086 180586 09814682

02 FC:103		108.00 OP
03 FC:105		130.00 OP
04 FC:104	10.00 CH	270.00 OP

U.S. Department of Commerce  
Patent and Trademark Office  
Office of Initial Patent Examination (OIPE)  
Customer Service  
(703) 308-1202 or 1203 Fax (703) 308-7751

**Fax**



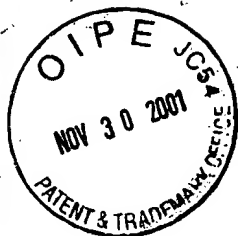
To: Ms Duden From: JANICE BURSE  
Fax: 215-851-1420 Pages: 2  
Phone: 215-241-7983 Date: 10-17-01  
Re: \_\_\_\_\_ Office: (OIPE) Customer Service  
☐ Urgent ☐ Corrected Filing Receipt ☐ Replacement Filing Receipt ☐ Please Reply

• Comments: \_\_\_\_\_

BEST AVAILABLE COPY



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



12-03-01

Seeter

(3)

Docket No. 99-40149-C

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent application of :  
Zaccaria, et al. :  
Serial No.: 09/814,682 ✓ :  
Filed: March 20, 2001 : Examiner: Not Yet Assigned  
For: Method and Computerized System :  
for Reducing Risk in an Energy : Attorney Docket No.: 99-40149-C  
Industry :

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Attention: Office of Initial Patent Examination Division

Dear Sir:

This is in response to a Notice to File Missing Parts of Application - Filing Date Granted (PTO 1553) mailed by the U.S. Patent Office on May 31, 2001, the response to which is due July 31, 2001. A separate Petition and Fee for a Four Month Extension of Time is being filed concurrently herewith extending the date for response to November 30, 2001.

**CERTIFICATE OF MAILING  
UNDER 37 C.F.R. 1.1.0**

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service. "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, BOX MISSING PARTS, Arlington, VA 22202.

*Marie G. Duden*

Marie G. Duden

Date: 30 November, 2001 Express Mail Label No. EL871796006US

The following papers are being submitted herewith:

1. Check in the amount of \$1,948.00 (\$508.00 balance due by applicant and \$1,440.00 for the Four Month Extension of Time;
2. Copy of Notice to File Missing Parts of Nonprovisional Application; and
3. Self-addressed return postcard.

Please charge any other fee required and/or credit any over charge with respect to this response to Deposit Account No. 18-0586.

Respectfully submitted,



THOMAS J. MCWILLIAMS  
Registration No. 44,930  
REED SMITH LLP  
2500 One Liberty Place  
1650 Market Street  
Philadelphia, PA 19103  
Tel.: (215) 241-7939  
Fax: (215) 851-1420  
e-mail: tmcwilliams@reedsmith.com  
Attorney for Applicant